

# Geometric Ideas in the Design of Efficient and Natural Proof Systems

Alessio Guglielmi

University of Bath

*Joint work with*

Paola Bruscoli, Tom Gundersen, Michel Parigot and Lutz Straßburger

24 September 2013

*This talk is available at <http://cs.bath.ac.uk/ag/t/GIDENPS.pdf>  
Deep inference web site: <http://alessio.guglielmi.name/res/cos/>*

# Outline

Problem: Getting rid of bureaucracy in proofs

Open Deduction (Deep Inference): locality (atomicity + linearity)

Deep Inference and Proof Complexity: proofs are small, so it is OK

Atomic Flows: locality brings geometry

Cut Elimination by Experiments: Gentzen's structure is too rigid

Normalisation with Atomic Flows: geometry is enough to normalise

Substitution: more geometry, more efficiency, more naturality

Problem:  
getting rid of  
bureaucracy  
in proofs

$$\begin{array}{c}
 \text{id} \frac{}{\vdash a^+, a} \quad \text{id} \frac{}{\vdash a, a^+} \\
 \otimes \frac{}{\vdash a^+, a \otimes a, a^+} \\
 \wp \frac{}{\vdash a^+ \wp (a \otimes a), a^+} \quad \text{id} \frac{}{\vdash a^+, a} \\
 \text{exch} \frac{}{\vdash a^+ \wp (a \otimes a), a^+ \otimes a^+, a} \\
 \wp \frac{}{\vdash a^+ \wp (a \otimes a), a, a^+ \otimes a^+} \\
 \wp \frac{}{\vdash a^+ \wp (a \otimes a), a \wp (a^+ \otimes a^+)}
 \end{array}$$

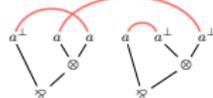
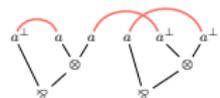
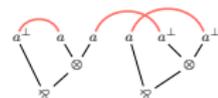
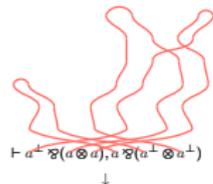
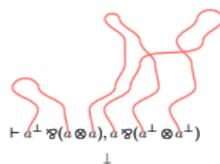
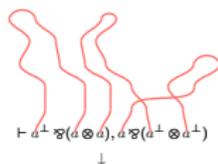
$$\begin{array}{c}
 \text{id} \frac{}{\vdash a, a^+} \quad \text{id} \frac{}{\vdash a^+, a} \\
 \otimes \frac{}{\vdash a, a^+ \otimes a^+, a} \\
 \text{exch} \frac{}{\vdash a, a, a^+ \otimes a^+} \\
 \wp \frac{}{\vdash a^+, a \wp (a^+ \otimes a^+)} \\
 \wp \frac{}{\vdash a^+, a \otimes a, a \wp (a^+ \otimes a^+)} \\
 \wp \frac{}{\vdash a^+ \wp (a \otimes a), a \wp (a^+ \otimes a^+)}
 \end{array}$$

$$\begin{array}{c}
 \text{id} \frac{}{\vdash a^+, a} \quad \text{id} \frac{}{\vdash a, a^+} \\
 \otimes \frac{}{\vdash a^+, a \otimes a, a^+} \\
 \text{exch} \frac{}{\vdash a^+, a^+, a \otimes a} \\
 \wp \frac{}{\vdash a^+, a^+ \wp (a \otimes a)} \\
 \otimes \frac{}{\vdash a, a^+ \otimes a^+, a^+ \wp (a \otimes a)} \\
 \wp \frac{}{\vdash a \wp (a^+ \otimes a^+), a^+ \wp (a \otimes a)} \\
 \text{exch} \frac{}{\vdash a^+ \wp (a \otimes a), a \wp (a^+ \otimes a^+)}
 \end{array}$$

$$\begin{array}{c}
 \text{id} \frac{}{\vdash a^+, a} \quad \text{id} \frac{}{\vdash a, a^+} \\
 \otimes \frac{}{\vdash a^+, a \otimes a, a^+} \\
 \wp \frac{}{\vdash a^+ \wp (a \otimes a), a^+} \quad \text{id} \frac{}{\vdash a^+, a} \\
 \text{exch} \frac{}{\vdash a^+ \wp (a \otimes a), a^+ \otimes a^+, a} \\
 \wp \frac{}{\vdash a^+ \wp (a \otimes a), a, a^+ \otimes a^+} \\
 \wp \frac{}{\vdash a^+ \wp (a \otimes a), a \wp (a^+ \otimes a^+)}
 \end{array}$$

$$\begin{array}{c}
 \text{id} \frac{}{\vdash a, a^+} \quad \text{id} \frac{}{\vdash a^+, a} \\
 \otimes \frac{}{\vdash a, a^+ \otimes a^+, a} \\
 \text{exch} \frac{}{\vdash a, a, a^+ \otimes a^+} \\
 \wp \frac{}{\vdash a^+, a \wp (a^+ \otimes a^+)} \\
 \wp \frac{}{\vdash a^+, a \otimes a, a \wp (a^+ \otimes a^+)} \\
 \wp \frac{}{\vdash a^+ \wp (a \otimes a), a \wp (a^+ \otimes a^+)}
 \end{array}$$

$$\begin{array}{c}
 \text{id} \frac{}{\vdash a^+, a} \quad \text{id} \frac{}{\vdash a, a^+} \\
 \otimes \frac{}{\vdash a^+, a \otimes a, a^+} \\
 \text{exch} \frac{}{\vdash a^+, a^+, a \otimes a} \\
 \wp \frac{}{\vdash a^+, a^+ \wp (a \otimes a)} \\
 \otimes \frac{}{\vdash a, a^+ \otimes a^+, a^+ \wp (a \otimes a)} \\
 \wp \frac{}{\vdash a \wp (a^+ \otimes a^+), a^+ \wp (a \otimes a)} \\
 \text{exch} \frac{}{\vdash a^+ \wp (a \otimes a), a \wp (a^+ \otimes a^+)}
 \end{array}$$



Picture taken from [Straßburger, 2006]

- ▶ From 'different' Gentzen sequent proofs we get **proof nets** (Girard),
- ▶ but they are too small: for propositional logic, they probably do not form a proof system.

# Proof Systems

- ▶ **Proof system** = algorithm checking proofs in polytime.
- ▶ Theorem (Cook and Reckhow):

$$\exists \textit{super proof system} \\ \textit{iff} \\ \text{NP} = \text{co-NP}$$

where

super = with polysize proofs over each proved tautology

# (Proof) System SKS

[Brünnler and Tiu, 2001]

- ▶ **Atomic** rules:

$\text{ai} \downarrow \frac{t}{a \vee \bar{a}}$	$\text{aw} \downarrow \frac{f}{a}$	$\text{ac} \downarrow \frac{a \vee a}{a}$
<i>identity</i>	<i>weakening</i>	<i>contraction</i>
$\text{ai} \uparrow \frac{a \wedge \bar{a}}{f}$	$\text{aw} \uparrow \frac{a}{t}$	$\text{ac} \uparrow \frac{a}{a \wedge a}$
<i>cut</i>	<i>coweakening</i>	<i>cocontraction</i>

- ▶ **Linear** rules:

$\text{s} \frac{A \wedge [B \vee C]}{(A \wedge B) \vee C}$	$\text{m} \frac{(A \wedge B) \vee (C \wedge D)}{[A \vee C] \wedge [B \vee D]}$
<i>switch</i>	<i>medial</i>

- ▶ Plus an '=' linear rule (associativity, commutativity, units).
- ▶ Negation on atoms only.
- ▶ Cut is atomic.
- ▶ SKS is **complete** for propositional logic.

## Examples in Open Deduction (Deep Inference)

$$\blacktriangleright \frac{\frac{\frac{a}{a \wedge a} \vee \frac{b}{b \wedge b}}{[a \vee b] \wedge [a \vee b]} \wedge \frac{a}{a \wedge a}}{m \frac{[a \vee b] \wedge [a \vee b]}{[a \vee b] \wedge [a \vee b]}}$$

$$\blacktriangleright \frac{\frac{\frac{t}{a \vee \bar{a}}}{m \frac{[a \vee t] \wedge [t \vee \bar{a}]}{[a \vee t] \wedge [t \vee \bar{a}]}}{s \left[ \frac{[a \vee t] \wedge \bar{a}}{s \frac{a \wedge \bar{a}}{f \vee t}} \vee t \right]}}$$

Proofs are **composed by the same operators** as formulae.

**Top-down symmetry:** so inference steps can be made atomic (the medial rule, m, is impossible in Gentzen).

(In [Guglielmi et al., 2010a].)

# Locality

Deep inference allows **locality**,

*i.e.*,

inference steps can be **checked in constant time**  
(so, they are small).

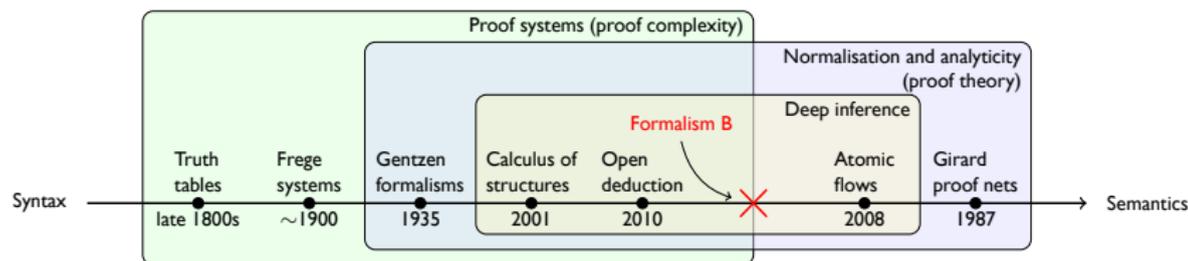
*E.g.*, atomic cocontraction:

$$\frac{\frac{a}{a \wedge a} \vee \frac{b}{b \wedge b}}{[a \vee b] \wedge [a \vee b]} \wedge \frac{a}{a \wedge a}$$

In Gentzen:

- ▶ no locality for (co)contraction (counterexample in [Brünnler, 2004]),
- ▶ no local reduction of cut into atomic form.

# Overview and Slogans



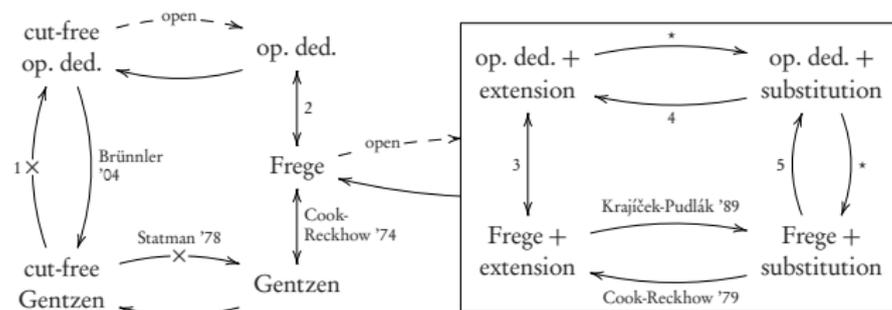
**Deep inference** = locality (+ symmetry).

**Locality** = linearity + atomicity.

**Geometry** = syntax independence (elimination of bureaucracy).

Locality → geometry → **semantics of proofs**.

# Deep Inference and Proof Complexity



$\longrightarrow$  = 'polynomially simulates'.

Open deduction has **as small proofs as the best formalisms**  
**and**

it has a normalisation theory

**and**

its cut-free proof systems are more powerful than Gentzen ones

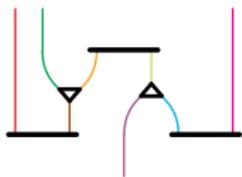
**and**

**cut elimination is quasipolynomial** (instead of exponential).

(See [Jeřábek, 2009, Bruscoli and Guglielmi, 2009, Bruscoli et al., 2010]).

# Atomic Flows

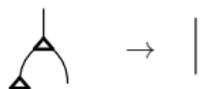
$$\begin{array}{c}
 \frac{t}{a \vee \bar{a}} \\
 \text{m} \frac{\quad}{[a \vee t] \wedge [t \vee \bar{a}]} \\
 \text{s} \frac{\quad}{\left[ \frac{[a \vee t] \wedge \bar{a}}{\frac{a \wedge \bar{a}}{f} \vee t} \vee t \right]}
 \end{array}
 = \left( \begin{array}{c}
 a \wedge \left[ \frac{\bar{a} \vee \frac{t}{\bar{a} \vee a}}{\quad} \right] \\
 \text{s} \frac{\quad}{\frac{\bar{a} \vee \bar{a}}{f} \vee \frac{a}{a \wedge a} \wedge \bar{a}} \\
 \frac{a \wedge \frac{a \wedge \bar{a}}{f}}{\quad}
 \end{array} \right)
 \wedge \frac{a}{a \wedge a}
 \text{m} \frac{\frac{a}{a \wedge a} \vee \frac{b}{b \wedge b}}{[a \vee b] \wedge [a \vee b]}
 \wedge \frac{a}{a \wedge a}$$



Below proofs, their (atomic) flows are shown:

- ▶ only **structural** information is retained in flows;
- ▶ logical information is **lost**;
- ▶ flow size is **polynomially related** to derivation size.

## Flow Reductions: (Co)Weakening (I)



Each flow reduction corresponds to a **correct** proof reduction.

## Flow Reductions: (Co)Weakening (2)

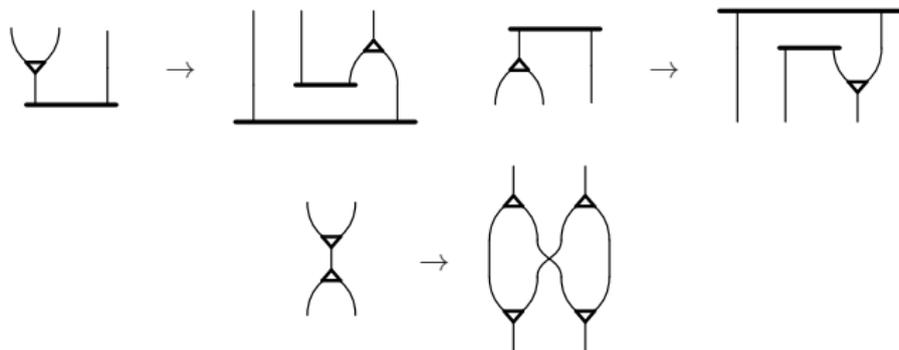
E.g.,   $\rightarrow$   specifies that

$$\begin{array}{c}
 \Pi'' \parallel \\
 \xi \left\{ \frac{t}{a^\epsilon \vee \bar{a}} \right\} \\
 \Phi \parallel \\
 \zeta \left\{ \frac{a^\epsilon}{t} \right\} \\
 \Psi \parallel \\
 \alpha
 \end{array}
 \quad \text{becomes} \quad
 \begin{array}{c}
 \Pi'' \parallel \\
 \xi \left[ t \vee \frac{f}{\bar{a}} \right] \\
 \Phi_{\{a^\epsilon/t\}} \parallel \\
 \zeta \{t\} \\
 \Psi \parallel \\
 \alpha
 \end{array}$$

We can operate on flow reductions instead than on derivations:

- ▶ much easier,
- ▶ we get natural, syntax-independent induction measures.

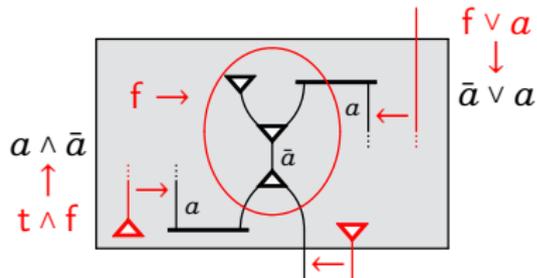
## Flow Reductions: (Co)Contraction



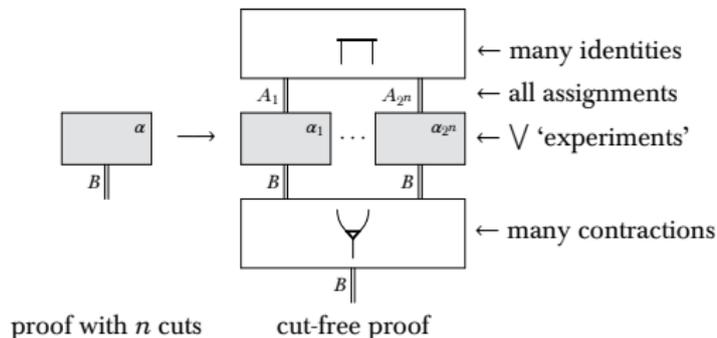
- ▶ These reductions conserve the **number and length of paths**.
- ▶ Open problem: **does cocontraction yield exponential compression?**

# Cut Elimination by 'Experiments'

Experiment  
over a proof:



We do:



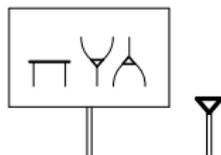
- ▶ Simple, exponential cut elimination;
- ▶  $2^n$  experiments, where  $n$  is the number of atoms;
- ▶ fairly syntax independent method.

The secret of success is in the **proof composition** mechanism.

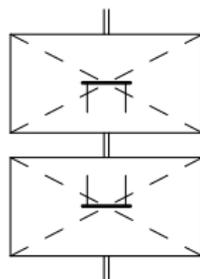
**WHY IS THIS IMPOSSIBLE IN THE SEQUENT CALCULUS?**

## Generalising the Cut-Free Form

- ▶ Normalised proof:



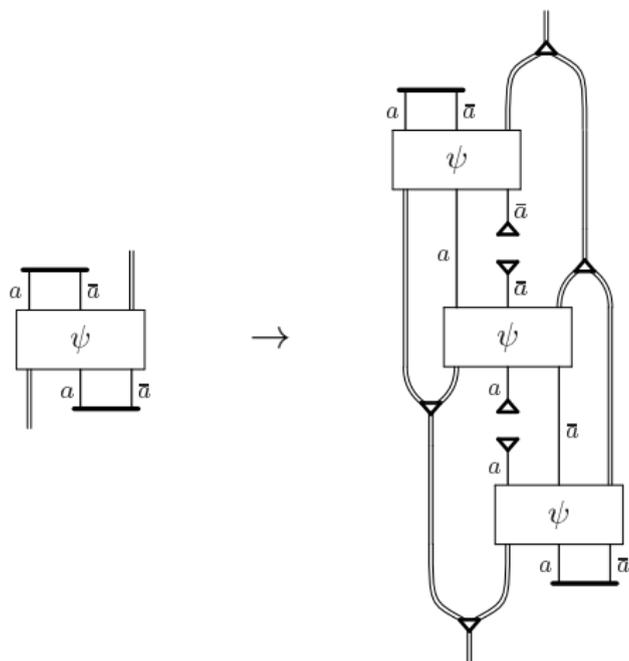
- ▶ Normalised derivation:



- ▶ The symmetric form is called **streamlined**.
- ▶ Cut elimination is a **corollary** of streamlining.
- ▶ We just need to **break the paths** between identities and cuts, and (co)weakenings do the rest.

## How Do We Break Paths?

With the **path breaker** [Guglielmi et al., 2010b]:



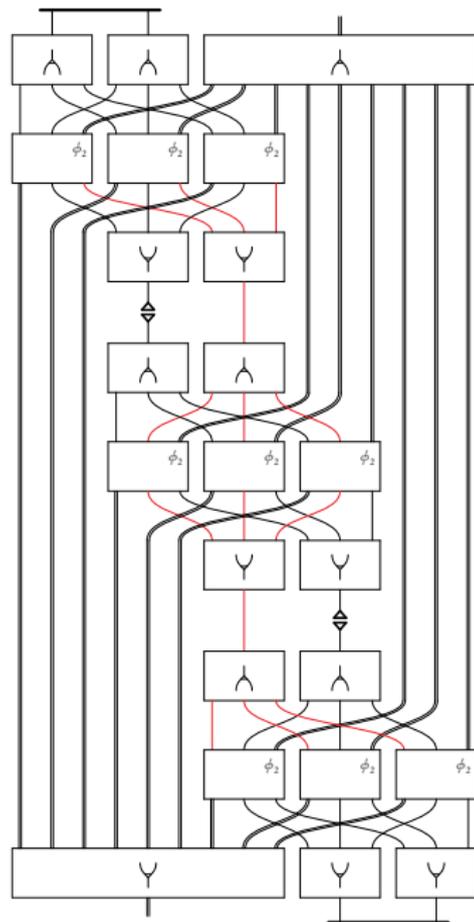
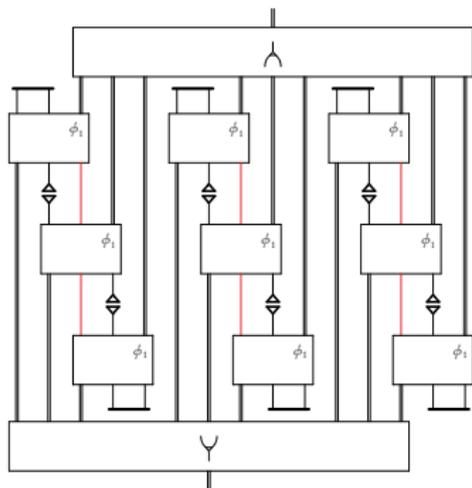
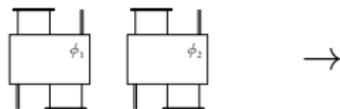
Even if there is a path between identity and cut on the left, there is none on the right.

## We Can Do This on Derivations, of Course

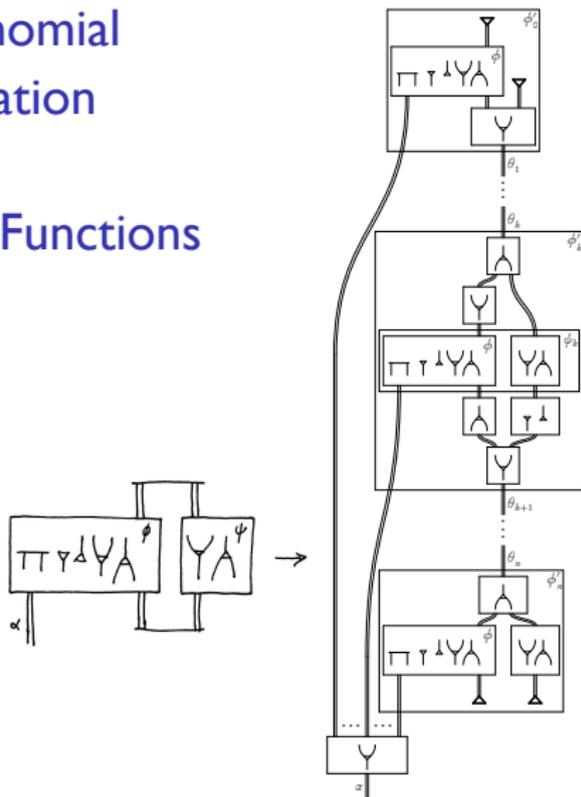
$$\begin{array}{c}
 A \\
 \hline
 [a \vee \bar{a}] \wedge A \\
 \Psi \\
 B \vee (a \wedge \bar{a}) \\
 \hline
 B
 \end{array}
 \rightarrow
 \begin{array}{c}
 A \\
 \parallel \{\text{c}\uparrow, \text{a}\downarrow, =\} \\
 (([a \vee \bar{a}] \wedge A) \wedge A) \wedge A \\
 (\Psi \wedge A) \wedge A \\
 \parallel \\
 ([B \vee (a \wedge \bar{a})] \wedge A) \wedge A \\
 \Phi_a \wedge A \\
 \parallel \\
 [B \vee ([a \vee \bar{a}] \wedge A)] \wedge A \\
 [B \vee \Psi] \wedge A \\
 \parallel \\
 B \vee ([B \vee (a \wedge \bar{a})] \wedge A) \\
 B \vee \Phi_a \\
 \parallel \\
 B \vee [B \vee ([a \vee \bar{a}] \wedge A)] \\
 B \vee [B \vee \Psi] \\
 \parallel \\
 B \vee [B \vee [B \vee (a \wedge \bar{a})]] \\
 \parallel \{\text{c}\downarrow, \text{a}\uparrow, =\} \\
 B
 \end{array}$$

- ▶ We can compose this as many times as there are paths between identities and cut.
- ▶ We obtain a family of **normalisers** that only depends on  $n$ .
- ▶ The construction is exponential.
- ▶ Finding something like this is **unthinkable without flows**.

## Example for $n = 2$

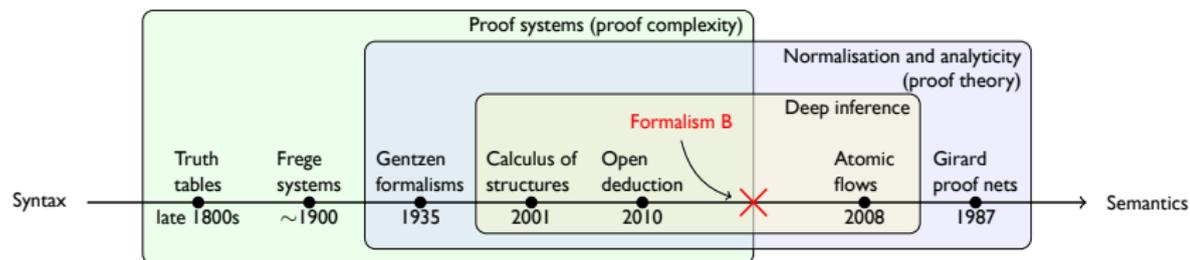


# Quasipolynomial Cut Elimination by Threshold Functions

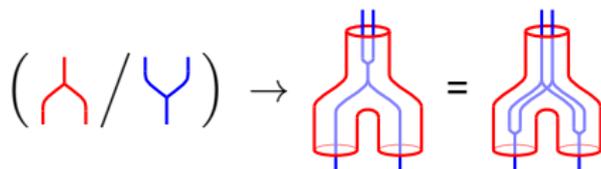


- ▶ Only  $n + 1$  copies of the proof are stitched together.
- ▶ Note **local cocontraction** (= better sharing, not available in Gentzen).

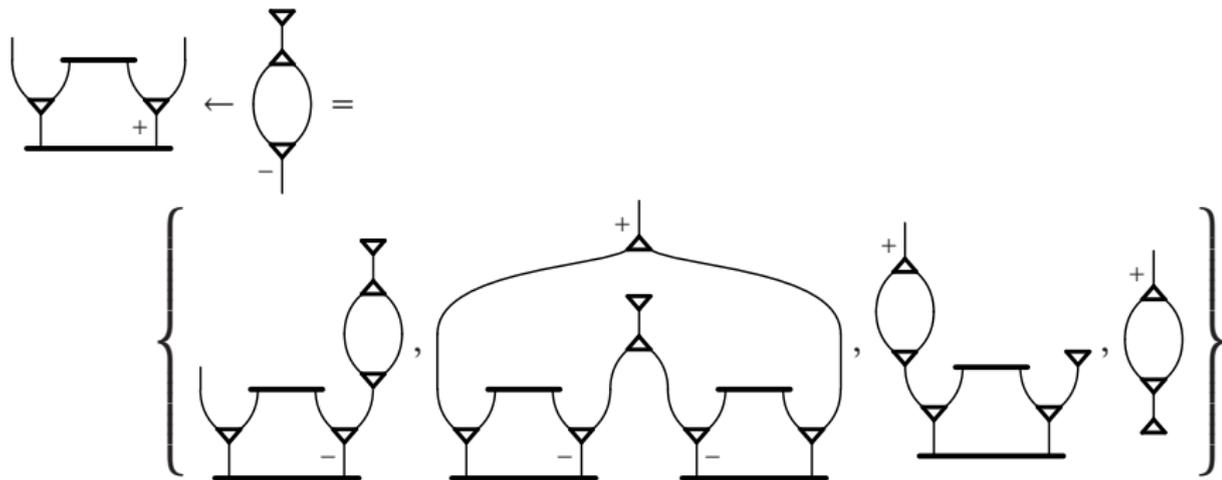
# Formalism B: Extending with Substitution



Achieving the power of Frege + extension (possibly optimal proof system) by incorporating **substitution**, guided by the geometry of flows:



## Example of Flow Substitution



Note the variety of shapes, all of which are equivalent. This is far more flexible than permutation of rules and similar Gentzen mechanisms.

# Lifting Substitution to Proofs

Consider the following two synchronal open deduction derivations:

$$\phi = \frac{\frac{\text{id} \frac{t}{a} \vee \bar{a}}{\text{c}\uparrow \frac{a \wedge a}{a \wedge a}}}{(a \wedge a) \vee \frac{\text{c}\downarrow \frac{\bar{a} \vee \bar{a}}{\bar{a}}}{\text{w}\uparrow \frac{\bar{a}}{t}}}}{\quad} \quad \text{and} \quad \psi = \frac{b \vee \frac{f}{b}}{b} .$$

We want to define a denotation for the formal substitution  $\phi | a \leftarrow \psi$ . One element in the set of denotations of  $\phi | a \leftarrow \psi$  is

$$= \frac{\frac{\text{id} \frac{t}{b \vee f} \vee \bar{b}}{\text{c}\uparrow \frac{\left[ \frac{b \vee f}{b} \right] \wedge [b \vee f] \vee (\bar{b} \wedge t) \vee \bar{b} \wedge \bar{b}}}{\left( \frac{b \vee b}{b} \wedge \frac{b \vee f}{b} \right) \vee \text{c}\downarrow \frac{(\bar{b} \wedge t) \vee \left( \bar{b} \wedge \frac{\bar{b}}{t} \right)}{\bar{b} \wedge t}}}{\text{w}\uparrow \frac{\bar{b} \wedge t}{t}}}{\quad} .$$

## Conclusion

- ▶ We are interested in proof composition (so in the first and second order propositional proof theory).
- ▶ Composition in Gentzen is rigid (it was designed for consistency proofs, not much else).
- ▶ Deep inference composition is free and yields local proof systems.
- ▶ Locality = linearity + atomicity, so we are doing an extreme form of linear logic.
- ▶ Because of locality we obtain a sort of geometric control over proofs.
- ▶ So we obtain an efficient and natural formalism for proofs, where more proof theory can be done with lower complexity.
- ▶ We are obtaining interesting notions of proof identity.

This talk is available at <http://cs.bath.ac.uk/ag/t/GIDENPS.pdf>

Deep inference web site: <http://alessio.guglielmi.name/res/cos/>



Brünnler, K. (2004).

**Deep Inference and Symmetry in Classical Proofs.**

Logos Verlag, Berlin.

<http://www.iam.unibe.ch/~kai/Papers/phd.pdf>.



Brünnler, K. and Tiu, A. F. (2001).

**A local system for classical logic.**

In Nieuwenhuis, R. and Voronkov, A., editors, *Logic for Programming, Artificial Intelligence, and Reasoning (LPAR)*, volume 2250 of *Lecture Notes in Computer Science*, pages 347–361.

Springer-Verlag.

<http://www.iam.unibe.ch/~kai/Papers/lcl-lpar.pdf>.



Bruscoli, P. and Guglielmi, A. (2009).

**On the proof complexity of deep inference.**

*ACM Transactions on Computational Logic*, 10(2):14:1–34.

<http://cs.bath.ac.uk/ag/p/PrCompLDI.pdf>.



Bruscoli, P., Guglielmi, A., Gundersen, T., and Parigot, M. (2010).

**A quasipolynomial cut-elimination procedure in deep inference via atomic flows and threshold formulae.**

In Clarke, E. M. and Voronkov, A., editors, *Logic for Programming, Artificial Intelligence, and Reasoning (LPAR-16)*, volume 6355 of *Lecture Notes in Computer Science*, pages 136–153.

Springer-Verlag.

<http://cs.bath.ac.uk/ag/p/QPNDI.pdf>.



Guglielmi, A., Gundersen, T., and Parigot, M. (2010a).

**A proof calculus which reduces syntactic bureaucracy.**

In Lynch, C., editor, *21st International Conference on Rewriting Techniques and Applications*, volume 6 of *Leibniz International Proceedings in Informatics (LIPIcs)*, pages 135–150. Schloss

Dagstuhl–Leibniz-Zentrum für Informatik.

<http://drops.dagstuhl.de/opus/volltexte/2010/2649>.



Guglielmi, A., Gundersen, T., and Straßburger, L. (2010b).

**Breaking paths in atomic flows for classical logic.**

In Jouannaud, J.-P., editor, *25th Annual IEEE Symposium on Logic in Computer Science (LICS)*, pages 284–293. IEEE.

<http://www.lix.polytechnique.fr/~lutz/papers/AFII.pdf>.



Jerábek, E. (2009).

**Proof complexity of the cut-free calculus of structures.**

*Journal of Logic and Computation*, 19(2):323–339.

<http://www.math.cas.cz/~jerabek/papers/cos.pdf>.



Straßburger, L. (2006).

**Proof nets and the identity of proofs.**

Technical Report 6013, INRIA.

<http://hal.inria.fr/docs/00/11/43/20/PDF/RR-6013.pdf>.