THE GOLDBLATT TRANSLATION BETWEEN ORTHOLOGIC AND KTB, REVISITED

Rodrigo N. Almeida – ILLC-UvA April 13, 2023

- 1. Translations into Classical logic;
- 2. Orthologic, Ortholattices, Orthospaces.
- 3. The Goldblatt Translation.
- 4. Properties of this translation.
- 5. Some further thoughts and developments.

Classical Translations

Double Negation Translation



Figure 1: Kurt Gödel (1906-1978); Valery Glivenko (1897-1940)

Definition

Given $\phi \in \mathcal{L}_{CPC}$ we define the double negation translation into \mathcal{L}_{IPC} , as follows:

1.
$$K(p) = \neg \neg p$$
 and $K(\bot) = \bot$;

- 2. $K(\phi \wedge \psi) = K(\phi) \wedge K(\psi);$
- 3. $K(\neg \phi) = \neg K(\phi)$.

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Theorem (Glivenko,1929)

For every formula $\phi, \phi \in CPC$ if and only if $K(\phi) \in IPC$.

Godel-McKinsey-Tarski Translation



Figure 2: Alfred Tarski (1901-1983); J.C.C. McKinsey (1908-1953)

Definition

Given $\phi \in \mathcal{L}_{IPC}$ we define the Godel-McKinsey-Tarski (GMT) translation into S4, as follows:

- 1. $GMT(p) = \Box p$ and $GMT(\bot) = \bot$;
- 2. $GMT(\phi \land \psi) = GMT(\phi) \land GMT(\psi)$ and $GMT(\phi \lor \psi) = GMT(\phi) \lor GMT(\psi)$;
- 3. $GMT(\phi \rightarrow \psi) = \Box(GMT(\phi) \rightarrow GMT(\psi)).$

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Theorem (Godel,1933, McKinsey-Tarski, 1948) For every formula $\phi \in \mathcal{L}_{IPC}$, $\phi \in IPC$ if and only if $GMT(\phi) \in S4$.

Definition

Let $L \in Ext(IPC)$ and $M \in NExt(S4)$. We say that M is a modal companion of L if:

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Theorem (Blok, 1976, Esakia 1976)

There is an isomorphism between the lattices Ext(IPC) and NExt(S4.Grz), mappings logics to their greatest modal companion.



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More broadly it reflects a vision of non-classical logic as modalised classical logic

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Example

In the case of the double negation translation, given a poset P seen as a Kripke frame, the corresponding Boolean model is obtained by looking at Max(P).



Figure 4: Transformation from Int. Model to Classical Model

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In the case of the GMT translation, given a preordered set *P*, seen as a transitive and reflexive Kripke frame, the corresponding intuitionistic logic is obtained by taking the *skeleton*:



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Intuition: identifying points in a cluster alters only some local properties; erasing worlds destroys global properties.

Orthologic, Ortholattices, Orthospaces

Ortholattices

Definition

An algebra $\mathbf{O} = (O, \land, \lor, \downarrow^{\perp}, 0, 1)$ is said to be an ortholattice when $(O, \land, \lor, 0, 1)$ is a bounded lattice, and \perp satisfies the following properties for every $a, b \in O$:

1.
$$(a \wedge b)^{\perp} = a^{\perp} \vee b^{\perp}$$
 and $(a \vee b)^{\perp} = a^{\perp} \wedge b^{\perp}$;

2.
$$a \wedge a^{\perp} = 0$$
 and $a \vee a^{\perp} = 1$

3.
$$(a^{\perp})^{\perp} = a$$
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Example

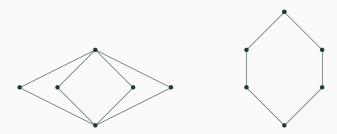


Figure 6: Examples of Ortholattices (*MO*₃ and Benzene)

Orthologic

Definition

Let \mathcal{L}_O be the language of ortholattices. Let \vdash , be a binary consequence relation in this language. Then we say that \vdash is an *orthologic* if it is closed under uniform substitution, and satisfies the following axioms, for all $\phi, \psi, \chi \in \mathcal{L}_O$:

- 1. For a finite set of formulas $\mathsf{\Gamma},\,\mathsf{\Gamma}\vdash\phi$ if and only if $\bigwedge\mathsf{\Gamma}\vdash\phi$
- 2. $\phi \land \psi \vdash \phi$; $\phi \land \psi \vdash \psi$
- 3. $\phi \vdash \phi^{\perp \perp}$; $\phi^{\perp \perp} \vdash \phi$
- 4. $\phi \land \neg \phi \vdash \psi$
- 5. If $\phi \vdash \psi$ and $\phi \vdash \chi$, then $\phi \vdash \psi \land \chi$
- 6. If $\phi \vdash \psi$ and $\psi \vdash \chi$ then $\phi \vdash \chi$
- 7. If $\phi \vdash \psi$ then $\psi^{\perp} \vdash \phi^{\perp}$

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- 4. $\phi \land \neg \phi \vdash \psi$
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Theorem

There is a dual isomorphism between Ext(O), the lattice of extensions of orthologic, and Var(Ort), the lattice of varieties of ortholattices.

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$$U^{\perp} := \{x : \forall y \in U, \neg (xRy)\}$$

for the orthogonal complement of U.

Given an orthoframe (X, \perp), we say that a subset U is regular if $U^{\perp \perp} = U$.

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Given an orthoframe (X, \bot) , a valuation $V : Prop \to Reg(X)$ taking values in the regular subsets of X is called an *orthomodel*. We write \mathfrak{M} The Kripke semantics of orthomodels is defined as follows:

- 1. $\mathfrak{M}, x \Vdash p \text{ iff } x \in V(p);$
- 2. $\mathfrak{M}, x \Vdash \phi \land \psi$ iff $\mathfrak{M}, x \Vdash \phi$ and $\mathfrak{M}, x \Vdash \psi$;
- 3. $\mathfrak{M}, x \Vdash \phi^{\perp}$ iff whenever *xRy* then $\mathfrak{M}, y \nvDash \phi$.

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Theorem (Goldblatt, 1974)

Orthologic is sound and complete with respect to orthoframes.

The Goldblatt Translation



Figure 7: Robert Goldblatt (1949-)

 $\mathsf{KTB} := \mathsf{K} \oplus \Box p \to p \oplus p \to \Box \Diamond p$

Definition (Goldblatt Translation)

For $\phi \in \mathcal{L}_0$ we define the Goldblatt translation:

- 1. $G(p) = \Box \Diamond G(p)$
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For every formula $(\phi, \psi) \in \mathcal{L}_0$ we have that $\phi \in \mathcal{O}$ if and only if $G(\phi) \to G(\psi) \in KTB$.

Proof.

(Sketch) Given an orthomodel (*X*, *R*, *V*), we can see it as a model of **KTB**, such that $(X, \bot, V) \Vdash \phi$ if and only if $(X, R, V) \Vdash G(\phi)$.

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Conversely, given a model (X, R, V) of KTB we can take a loop-skeleton:

 $x \equiv y \iff \forall z (xRz \leftrightarrow yRz)$

Using this we form a quotient $X^* := X / \equiv$, with a relation [x]R[y] if and only if xRy, and $[x] \in W(p)$ if and only if $x \in \Box \Diamond V(p)$. And we have that $(X^*, R, W) \Vdash \phi$ if and only if $(X, R, V) \Vdash G(\phi)$.

Analysing the Goldblatt Translation

Despite early enthusiasm with this logic, this line of work went quiet after a while.

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In the early 2000's, Miyazaki produced a detailed analysis of the translation, hinting at the kind of theory present in the GMT translation.

Definition Let $O \in \Lambda(O)$ and $L \in NExt(KTB)$. We say that L is a KTB-companion of O if:

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Theorem (Miyazaki, 2004)

The following hold:

- For each L ∈ NExt(KTB), there is a logic O ∈ Λ(O) such that L is the modal companion of O; this assignment preserves Kripke completeness, tabularity and FMP.
- 2. For each orthologic $O \in \Lambda(O)$ with the FMP, there is a logic $L \in NExt(KTB)$ such that L is the modal companion of O; this assignment preserves tabularity and FMP.

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Proof.

By a classic result in the theory of ortholattices, and a result of Miyazaki, we know that the bottom of the lattices of varieties look as follows:

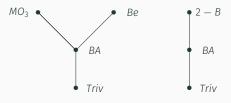


Figure 8: Bottom of the lattice of varieties

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Indeed, unlike in the KTB case, to a single ortholattice there could correspond multiple orthoframes; both of the following are transformed into Benzene frames:

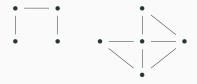


Figure 9: Two Benzene frames

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The formal treatment of this goes through by relating translations with adjunctions. The property which fails above is that the unit of the adjunction is not an isomorphism, i.e., the left adjoint is not fully faithful. Expanding the Signature of Ortholattices

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The precise nature of the phenomenon at play is not yet clear to me. But by reverse engineering some well-known situations, I think I can make my point that there is indeed something happening here.

Imagine that we had just discovered pseudocomplemented distributive lattices – bounded distributive lattices of type $\mathbf{D} = (D, \land, \lor, \neg, 0, 1)$ where the negation satisfies the following property:

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We also found that these could be modelled using posets (P, \leq) , in the usual way: taking valuations $V : Prop \rightarrow Up(P)$, and the semantic clause

 $(P,V), x \Vdash \neg \phi \iff \forall y \ge x, (P,V), y \nvDash \phi$

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Being experienced modal logicians, we notice that this is very similar to the S4 modal system, and rush to translate this to that system with the following translation:

1.
$$T(p) = \Box p$$
 and $T(\bot) = \bot$ and $T(\top) = \top$;
2. $T(\phi \land \psi) = T(\phi) \land T(\psi)$ and $T(\phi \lor \psi) = T(\phi) \lor T(\psi)$;
3. $T(\neg \phi) = \Box \neg T(\phi)$.

However, we start to notice a few problems:

1. We can define the pre-linearly ordered S4-frames – they are just given by $\Box(\Box p \rightarrow q) \lor \Box(\Box q \rightarrow p)$ – but these cannot be defined because the natural notion of p-morphism does not preserve linearity! However, we start to notice a few problems:

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- 2. You find that the natural semantic transformations algebraic, duality-theoretic appear to work in the finite case, but do not extend to the infinite case.
- Eventually you find that there is not isomorphism between the lattices of pseudocomplemented distributive lattices and the extensions of any extension of S4 – the former is countable whilst the latter is of size continuum.

What is wrong with this picture?

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Key idea: orthologic as a logic of "mutual consistency": *xRy* = states at *x* and *y* are mutually consistent.

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The intended meaning:

 $a \hookrightarrow b :=$ "In all worlds that are consistent with the present, if a holds, then b holds.

This generates a different class of structures, called in my thesis *Orthoimplicative* systems.

When adding this implication, and extend the translation we obtain an **extended Goldblatt translation** into a specific extension of KTB, the transformations become smooth, and much more can be said.

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Theorem (Lazy Blok-Esakia Correspondence)

There exists a surjective homomorphism between NExt(KTB^{sob}) and Ext(Ort \rightarrow), which witnesses a strong translation and preserves properties such as tabularity, FMP, local tabularity, amongst others, and an injective homomorphism $\Lambda(Ort^{\rightarrow})$ to $\Lambda(KTB^{sob})$ which preserves Kripke completeness amongst other properties.

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It is left open whether this map is an isomorphism.

Thank you! Questions? Some facts obtained about these structures:

- 1. Their theory is conservative over ortholattices.
- 2. Every finite ortholattice, and every (infinite-dimensional) Hilbert space, admits the structure of such an implication.
- 3. The proposed duality allows for great simplification in reasoning (See board).
- 4. It satisfies a well-defined universal property:

$$c \leq a \rightarrow b \iff a \leq c^{\perp} \lor b$$

- It allows the description of natural objects such as the centre of an orthomodular lattice; this defines a sound translation from classical logic into orthomplicative logic.
- 6. Some recent connections: in the case of atomistic ortholattices, the duality we introduced restricts to a known class of graphs called stiff graphs.